



SECTION: Human Resources
AREA: General

Number: 02.02.A.11

SUBJECT: Staff Performance Appraisals

I. PURPOSE AND SCOPE

Performance appraisals provide a means for informing employees about the quality of their work and identifying areas of performance that may need improvement.

Performance appraisals consist of assessing the staff member's adequacy to perform job duties at desired levels of competence and ability to comply with department and University of Houston - Clear Lake standards and policies. Performance appraisal documents provide a supportable basis for making personnel decisions including, but not limited to, training needs, merit pay adjustments, promotions, transfers, continued employment, or terminations.

II. POLICY INFORMATION

- A. The job performance of all new staff employees will be monitored by their supervisor(s) during the probationary period to determine whether employment should be continued.
- B. All regular, benefits-eligible staff employees of the University of Houston - Clear Lake, who have completed their probationary period, shall receive a formal performance appraisal annually. Performance appraisals may also be conducted at other times for valid business reasons.
- C. Performance appraisals shall be conducted in a manner developed by the university and administered consistently throughout the university. Documentation of annual performance appraisals will be signed by all appropriate supervisor(s) and shared with the employee for the employee's signature. A copy of the signed appraisal document will be maintained in the employee's personnel file in the university human resources department. The employee will be allowed to provide written comments in response to the performance appraisal. These comments should be attached to the appraisal document and placed in the employee's personnel file in the university human resources department.
- D. University of Houston - Clear Lake-mandated training is considered a minimum job expectation of all employees. University of Houston - Clear Lake employees who do not complete annual mandatory training by the published deadline will not have met their job expectations and will, therefore, not be eligible for merit increases.
- E. Merit salary adjustments will not be approved unless a current performance appraisal indicating meritorious performance has been conducted and is on file in the university human resources department.
- F. Confidentiality of the performance appraisals shall be maintained to the

greatest extent possible. If copies of the performance appraisal are maintained within the employment department, they will be secured in a confidential location for the duration of the employee's assignment in that department. Completed performance appraisals will not be shown to other employees or supervisors, except on a business need-to-know basis, or with the written consent of the employee, or in compliance with state and federal law.

- G. The policy of the University of Houston – Clear Lake is to ensure equal opportunity in all its educational programs and activities, and all terms and conditions of employment without regard to age, race, color, disability, religion, national origin, veteran's status, genetic information, or sex (including pregnancy), except where such a distinction is required by law. Additionally, UH University of Houston - Clear Lake prohibits discrimination in all aspects of employment and educational programs on the basis of sexual orientation, gender identity, or gender expression. For the UH University of Houston System Official Non-Discrimination Statement, see [SAM.01.D.05 – Equal Opportunity and Non-Discrimination Statement](#).

III. DEFINITIONS

- A. For this policy, a regular, benefits-eligible staff employee is one who is employed to work at least 50 percent time for at least four and one-half continuous months, excluding students holding positions for which student status is a requirement for employment. Performance appraisals are not required for individuals employed in a position that requires student status as a condition of employment, individuals employed in temporary positions, or part-time (less than .50 FTE) employees (less than four and one-half months); however, supervisors have the option to use performance appraisals to evaluate the job performance of part-time and temporary employees.
- B. The immediate supervisor is responsible for conducting performance appraisals for all employees under his/her supervision covered by this policy. In the case of multiple supervisors, the evaluation will be conducted by the supervisor who oversees most of the work; or, the department head may require separate and independent appraisals by each supervisor; or, all supervisors may be required to provide information to be compiled in a single document. The method should be chosen to ensure that the employee is evaluated in an equitable manner.
- C. Appraisals will be objective, rather than subjective, and will focus on work performance rather than personal attributes.
- D. The supervisor will conduct a private discussion with the employee as a part of the appraisal process so that the employee clearly understands the duties of his or her job and the reasons for the supervisor's assessment of the employee's performance.
- E. The employee will be allowed to provide input and to add written comments on the appraisal document. Both the supervisor and the

employee will sign the completed appraisal.

F. In accordance with the University of Houston – Clear Lake’s review process, the completed and signed appraisal document will be forwarded to the university human resources department for inclusion in the employee’s personnel file.

IV. REVIEW AND RESPONSIBILITIES

Responsible Party: Associate Vice President of Human Resources

Review: Every three years on or before June 1

V. APPROVAL

Approved: Mark Denney
Vice President for Administration and Finance

Dr. Ira Blake
President

Date: 6/18/2021

VI. REVISION LOG

Revision Number	Approval Date	Description of Changes
1	6/18/2021	UH System Policy adopted and placed in UHCL Standardized MAP Template. Procedures previously documented in the UHCL policy are documented in the HR Handbook.

VII. REFERENCES

- [SAM 01.D.05 – Equal Oppourtunity and Non-Discrimination Statement](#)
- [SAM 02.A.11 – Staff Performance Appraisals](#)