

University of Houston-Clear Lake
Appendix A - Allocation of New FY 2011 Resources

<u>Revenue Changes</u>	<u>A</u>	<u>Priority/Initiative</u>	<u>B</u> <u>Allocation</u>
General Revenue			
1 General Revenue Appropriation	\$ 35,960	1 Student Success Center	\$ 50,000
2 Staff Benefits - State Paid	144,617	2 Student Financial Assistance	719,660
3 Subtotal	<u>\$ 180,577</u>	3 Faculty	1,397,625
Tuition			
4 Statutory and Grad Premium Tuition	\$ 1,135,182	4 Pearland	740,002
5 Designated Tuition - General	2,328,893	5 Student Services	395,852
6 Designated Tuition - Differential	1,052,438	6 Subtotal	<u>\$ 3,303,139</u>
7 Subtotal Tuition	<u>\$ 4,516,513</u>	Priority 2. Academic and Research Excellence/National Competitiveness	
Student Fees			
8 Other Student Fees	\$ 894,453	7 Regional Accreditation	\$ 394,806
Other Sources			
9 Other Sources	\$ 236,021	8 School Accreditation	779,685
Reductions/Reallocations			
10 Pearland Campus	\$ 1,983,160	9 Subtotal	<u>\$ 1,174,491</u>
11 State Budget Reduction	1,437,434	Priority 3. University Infrastructure and Administration	
12 Subtotal Reductions/Reallocations	<u>\$ 3,420,594</u>	10 Staff	\$ 1,218,067
13 Total New Funds for Allocation	<u>\$ 9,248,158</u>	11 Campus Facilities	459,464
		12 Operations Support	1,255,864
		13 Faculty and Staff Benefits	348,699
		14 Subtotal	<u>\$ 3,282,094</u>
		Priority 4. Community Advancement	
		15 University Advancement	\$ 51,000
		16 Reserve for State Budget Reduction	\$ 1,437,434
		17 Total New Investments	<u>\$ 9,248,158</u>

University of Houston-Clear Lake
Appendix B - Allocation of FY 2011 HEAF

<u>FY11 Allocation</u>		<u>Priority/Initiative</u>	<u>Allocation</u>
HEAF Annual Allocation	\$ 5,214,167	Priority 1. Student Access and Success	
HEAF Reserve	454,169	Instructional Support	\$ 915,000
HEAF	<u>\$ 5,668,336</u>	Off-Campus and Online Programs	<u>73,312</u>
		Subtotal	<u>\$ 988,312</u>
		Priority 2. Academic and Research Excellence/National Competitiveness	
		Teaching and Research Resources	\$ 1,575,283
		Priority 3. University Infrastructure and Administration	
		Campus Facilities	\$ 763,484
		University Computing	778,471
		Operations Support	297,786
		Capital Renewal and Deferred Maintenance	1,265,000
		Subtotal	<u>\$ 3,104,741</u>
		Total Investments	<u>\$ 5,668,336</u>

University of Houston-Clear Lake
Appendix C - Projected Availability of Scholarships and Grants

	<u>FY2010</u>	<u>FY2011</u>
Funds from Endowed Scholarships	\$ 215,227	\$ 331,678
Texas Grant Program Scholarships	826,880	928,860
Texas Public Education Grant (TPEG)	1,053,887	1,141,315
Early High School Graduation Program Scholarships		10,000
Property Deposit Scholarships	21,000	40,000
Designated Tuition Financial Aid Set-Asides		
Undergraduate Scholarships	758,688	1,033,749
Graduate Scholarships	731,896	911,125
Academic Recognition Scholarships		
B-on-Time Program	498,560	344,583
New Student Scholarships	286,000	286,000
Scholarships - Transfer	960,316	1,136,200
Alumni Annual Fund Scholarships	17,138	20,957
Cullen Leaders Scholarships	11,000	15,000
Federal College Work Study	155,441	150,000
Federal Pell Grants	4,000,000	6,000,000
Federal Supplemental Education Opportunity Grants (SEOG)	189,061	194,061
Total	<u>\$ 9,725,094</u>	<u>\$ 12,543,528</u>

University of Houston-Clear Lake

Table 1 - Sources & Uses

(\$ in Millions)

	A	B	C	D	E	F	G
	<u>Historical</u>	-----Change-----		<u>Current</u>	-----Change-----		<u>New</u>
	FY2009 Budget	Dollars	Percent	FY2010 Budget	Dollars	Percent	FY2011 Budget
<u>Operating & Restricted Budget</u>							
Source of Funds							
1 State Appropriations	\$ 35.4	\$ 2.3	6.5%	\$ 37.8	\$ 0.2	0.5%	\$ 37.9
2 HEAF	6.0	(0.6)	-10.8%	5.4	(0.1)	-2.6%	5.2
3 Tuition & Fees	39.0	2.7	7.0%	41.7	5.2	12.5%	46.9
4 Other Operating (Auxiliaries)	4.1	0.3	8.2%	4.4	(0.4)	-9.8%	4.0
5 Contracts & Grants (Restricted)	6.3	2.0	31.3%	8.3	2.4	28.4%	10.6
6 Endowments/Gifts (Restricted)	1.1	(1.6)	-141.2%	(0.5)	0.9	-206.4%	0.5
7 Total Sources	\$ 92.0	\$ 5.1	5.6%	\$ 97.1	\$ 8.1	8.4%	\$ 105.2
Use of Funds by Object							
8 Salaries and Wages - Faculty	\$ 21.2	\$ 1.0	4.7%	\$ 22.2	\$ 1.0	4.4%	\$ 23.2
9 Salaries and Wages - Staff	23.7	1.8	7.8%	25.6	1.4	5.4%	26.9
10 Benefits	11.6	0.3	2.2%	11.9	0.6	4.9%	12.4
11 M&O	16.8	2.0	12.0%	18.8	0.0	0.2%	18.8
12 Capital	4.2	(0.8)	-17.8%	3.5	0.2	6.7%	3.7
13 Scholarships	7.8	1.0	13.1%	8.8	3.7	42.3%	12.5
14 Debt Service	3.6	0.0	0.1%	3.6	(0.0)	-0.1%	3.6
15 Utilities	3.1	(0.3)	-8.4%	2.9	(0.3)	-9.4%	2.6
16 Reserve for State Budget Reduction	-	-		-	1.4		1.4
17 Total Uses	\$ 92.0	\$ 5.1	5.6%	\$ 97.1	\$ 8.1	8.4%	\$ 105.2
<u>Capital Facilities Budget</u>							
Source of Funds							
19 Bonds	\$ 1.5	\$ 4.5	300.0%	\$ 6.0	\$ 0.6	10.0%	\$ 6.6
21 Other	1.7	0.8	46.9%	2.5	(0.1)	-3.0%	2.4
22 Total Sources	\$ 3.2	\$ 5.3	166.5%	\$ 8.5	\$ 0.5	6.2%	\$ 9.0
Use of Funds by Object							
23 Construction	\$ 1.5	\$ 5.4	361.2%	\$ 6.9	\$ (1.1)	-15.7%	\$ 5.8
24 Major Rehabilitation	1.7	(0.1)	-7.9%	1.5	1.6	104.1%	3.2
26 Total Uses	\$ 3.2	\$ 5.3	166.5%	\$ 8.5	\$ 0.5	6.2%	\$ 9.0
<u>Total Operating, Restricted and Capital Budget</u>							
27	\$ 95.1	\$ 10.4	10.9%	\$ 105.5	\$ 8.6	8.2%	\$ 114.2

University of Houston-Clear Lake
Table 2 - Operations

	FY2010 Budget	-----Change-----		FY2011 Budget
		Dollars	Percent	
Source of Funds				
General Funds				
State General Revenue Appropriations				
Formula Funding	\$ 28,235,155	\$ 40,360	0.1%	\$ 28,275,515
Special Items	473,172			473,172
Tuition Revenue Bonds	3,204,841	(4,400)	-0.1%	3,200,441
State Benefits Appropriation	5,838,456	144,617	2.5%	5,983,073
Subtotal State General Revenue Appropriations	37,751,624	180,577	0.5%	37,932,201
Tuition and Fees				
Statutory & Graduate Premium	12,554,626	1,149,505	9.2%	13,704,131
Lab/other Student Fees	800			800
Subtotal Tuition and Fees	12,555,426	1,149,505	9.2%	13,704,931
HEAF	5,355,874	(141,707)	-2.6%	5,214,167
Aux Admin Chg/Opt Clinic/Institutes/Cont. Ed/Other	(118,287)	(14,376)	12.2%	(132,663)
Income on State Treasury Deposits	15,994			15,994
Fund Balance	767,019	(312,850)	-40.8%	454,169
Subtotal General Funds	56,327,650	861,149	1.5%	57,188,799
Designated				
Tuition and Fees				
Designated Tuition - General	18,207,346	2,336,996	12.8%	20,544,342
Designated Tuition - Differential	773,000	1,062,165	137.4%	1,835,165
Library Fee	792,271	58,578	7.4%	850,849
Technology Fee	2,239,375	160,814	7.2%	2,400,189
Major/Department/Class Fees	3,478,833	(86,601)	-2.5%	3,392,232
Subtotal Tuition and Fees	25,490,825	3,531,952	13.9%	29,022,777
Indirect Cost	242,875	29,958	12.3%	272,833
Investment Income on Non-Endowed Funds	950,000	(100,000)	-10.5%	850,000
Endowment Income	84,826	35,282	41.6%	120,108
Contracts / Grants / Gifts	122,280	(1,000)	-0.8%	121,280
Self Supporting Organizations/Others	976,526	36,269	3.7%	1,012,795
Fund Balance	1,005,857	(56,984)	-5.7%	948,873
Subtotal Designated Funds	28,873,189	3,475,477	12.0%	32,348,666
Auxiliary Enterprises				
Student Fees				
Student Service Fee	3,067,795	524,247	17.1%	3,592,042
Other Student Fees	612,388	1,200	0.2%	613,588
Subtotal Student Fees	3,680,183	525,447	14.3%	4,205,630
Sales & Service - Parking	643,500	257,254	40.0%	900,754
Sales & Service - Athletics/Hotel/UC/Other	783,518	(118,192)	-15.1%	665,326
Fund Balance	303,179	(53,179)	-17.5%	250,000
Subtotal Auxiliary Funds	5,410,380	611,330	11.3%	6,021,710
Total Current Operating Funds	90,611,219	4,947,956	5.5%	95,559,175
Interfund transfer	(1,371,817)	(134,658)	9.8%	(1,506,475)
Total Sources	\$ 89,239,402	\$ 4,813,298	5.4%	\$ 94,052,700
Use of Funds by Object				
Salaries and Wages	\$ 46,597,520	\$ 2,261,112	4.9%	\$ 48,858,632
Benefits	11,674,112	578,777	5.0%	12,252,889
M&O	16,909,462	(139,808)	-0.8%	16,769,654
Capital	3,457,514	231,551	6.7%	3,689,065
Scholarships	4,163,885	717,720	17.2%	4,881,605
Debt Service	3,569,991	(3,200)	-0.1%	3,566,791
Utilities	2,866,918	(270,288)	-9.4%	2,596,630
Reserve for State Budget Reduction		1,437,434	NA	1,437,434
Total Uses	\$ 89,239,402	\$ 4,813,298	5.4%	\$ 94,052,700

University of Houston-Clear Lake
Table 3 - Restricted

	FY2010 Budget	-----Change-----		FY2011 Budget
		Dollars	Percent	
Source of Funds				
Restricted				
Contracts and Grants				
Research	\$ 3,904,215	\$ (700,981)	-18.0%	\$ 3,203,234
Financial Aid	4,389,061	3,055,939	69.6%	7,445,000
Gifts	126,047	16,663	13.2%	142,710
Endowment Income	42,797	23,246	54.3%	66,043
Other Restricted	280,627	(17,237)	-6.1%	263,390
Total Current Operating Funds	8,742,747	2,377,630	27.2%	11,120,377
Interfund Transfer	(904,579)	916,890	-101.4%	12,311
Total Sources	<u>\$ 7,838,168</u>	<u>\$ 3,294,520</u>	<u>42.0%</u>	<u>\$ 11,132,688</u>
Use of Funds by Object				
Salaries and Wages	\$ 1,168,696	\$ 117,604	10.1%	\$ 1,286,300
Benefits	182,124	(629)	-0.3%	181,495
M&O	1,858,585	175,913	9.5%	2,034,498
Capital	7,500			7,500
Scholarships	4,621,263	3,001,632	65.0%	7,622,895
Total Uses	<u>\$ 7,838,168</u>	<u>\$ 3,294,520</u>	<u>42.0%</u>	<u>\$ 11,132,688</u>

University of Houston-Clear Lake

Table 4 - Capital Projects

	Project to Date (1)	FY2011 Budget	Future Year Budgets	Total Project Budget	-----Funded From-----			
					HEAF	Revenue Bonds	Gifts	Other
New Construction								
Arbor Building - Renovation and Expansion	\$ 4,771,260	\$ 5,833,548		\$ 10,604,808	\$ -	\$ 10,604,808	\$ -	\$ -
Subtotal New Construction	\$ 4,771,260	\$ 5,833,548	\$ -	\$ 10,604,808	\$ -	\$ 10,604,808	\$ -	\$ -
Major Repair and Rehabilitation								
Projects Budgeted Annually								
Capital Renewal Deferred Maintenance	\$ 1,498,865	\$ 1,250,000		\$ 2,748,865	\$ 2,683,865	\$ -	\$ -	\$ 65,000
Student Services Classrm Bldg Remediation-Phase 1	1,015,893	1,674,564		2,690,457	310,871	1,779,586		600,000
Parking Lot/Roadway Maintenance	179,164	225,658		404,822				404,822
Subtotal Major Repairs & Rehabilitation	\$ 2,693,922	\$ 3,150,222	\$ -	\$ 5,844,144	\$ 2,994,736	\$ 1,779,586	\$ -	\$ 1,069,822
Total	\$ 7,465,182	\$ 8,983,770	\$ -	\$ 16,448,952	\$ 2,994,736	\$ 12,384,394	\$ -	\$ 1,069,822

(1) Project expenditures to date, estimated through August 31, 2010

University of Houston-Clear Lake

Table 5 - Number of Full-Time Equivalent Positions

Employee Classification	FY2010 Budget	-----Change-----		FY2011 Budget
		FTE	Percent	
Faculty	198	4	2.0%	202
Part-time Faculty	100	8	8.0%	108
Professional Staff	270	19	7.0%	289
Classified Staff	281	7	2.5%	288
Temporary Staff	109	11	10.1%	120
Total	958	49	5.1%	1,007

University of Houston-Clear Lake
Table 6 - Student Credit Hours, Headcount, and FTE

	FY2007 Actuals	FY2008 Actuals	FY2009 Actuals	FY2010 Budget	FY2011 Budget	FY11 vs FY10 Change
Semester Credit Hours						
Upper Division	92,811	91,809	91,490	92,204	104,827	12,623
Masters	57,497	57,041	54,955	57,369	54,656	(2,713)
Total	150,308	148,850	146,445	149,573	159,483	9,910
Semester Credit Hours-On/Off Campus						
On Campus	121,593	115,428	111,186	115,171	122,461	7,290
Off Campus	28,715	33,422	35,259	34,402	37,022	2,620
Total	150,308	148,850	146,445	149,573	159,483	9,910
Fall Headcount	7,706	7,522	7,658	7,658	7,821	163
Fall FTE	4,638	4,575	4,647	4,647	4,744	97

Note: The FY2011 Budget reflects a 2% increase in Undergraduate and Non-resident SCH and a 10% decline in Resident Graduate SCH from FY10 Estimate and Pearland.

University of Houston-Clear Lake
Table 7 - Allocation of Student Service Fees

Sources	FY2010	-----Change-----		FY2011
	Budget	Dollars	Percent	Budget
Current Year Revenue	\$ 3,037,009	\$ 520,714	17.1%	\$ 3,557,723
Budgeted Fund Balance	53,179	(53,179)	-100.0%	
Total Sources	\$ 3,090,188	\$ 467,535	15.1%	\$ 3,557,723
Allocations				
AVP, Student Affairs	\$ 123,779	\$ 9,660	7.8%	\$ 133,439
Career and Counseling	729,099	40,460	5.5%	769,559
Financial Aid	493,363	9,000	1.8%	502,363
Health Service	287,066			287,066
Intercultural/International Student Services	201,433	36,320	18.0%	237,753
Student Life	289,545	34,400	11.9%	323,945
Student Transportation	35,792	7,000	19.6%	42,792
Orientation and Welcome Week	10,000			10,000
Annual Leadership Conference	12,500	4,000	32.0%	16,500
Dean of Students	397,580	20,654	5.2%	418,234
Student Cultural Arts	20,000			20,000
Student Government Association	22,500			22,500
Fitness and Wellness	77,256			77,256
Student Life Programs	14,120			14,120
Student Publications	73,951	18,629	25.2%	92,580
Women's Services	49,099	20,000	40.7%	69,099
Utilities	90,000			90,000
Custodial	28,856			28,856
Administrative Charge	104,160			104,160
System Service Charge	23,000			23,000
SGA Executive Council	2,500			2,500
SSF Unallocated	4,589	68,251	1487.3%	72,840
International Student Program		7,000		7,000
Educational Program and Outreach		20,000		20,000
PC - Student Services		89,240		89,240
PC - Career and Counseling		35,300		35,300
PC - Student Services Unallocated		47,621		47,621
Total Allocations	\$ 3,090,188	\$ 467,535	15.1%	\$ 3,557,723

University of Houston-Clear Lake
Note to Table 2: Operations Expenditures By Organization

	FY2010 Budget	-----Change-----		FY2011 Budget
		Dollars	Percent	
Use of Funds by Organization				
President				
President's Office	\$ 806,433	\$ (46,234)	-5.7%	\$ 760,199
University Advancement	1,297,404	79,923	6.2%	1,377,327
Subtotal President	2,103,837	33,689	1.6%	2,137,526
Academic Affairs				
Information Resources	350,582	99,302	28.3%	449,884
University Computing	5,617,381	397,375	7.1%	6,014,756
Library	3,264,239	101,523	3.1%	3,365,762
Sr. VP and Provost	3,111,401	(615,566)	-19.8%	2,495,835
Student Services	3,529,103	606,877	17.2%	4,135,980
Enrollment Management	8,281,274	1,311,892	15.8%	9,593,166
Academic Affairs	475,127	11,332	2.4%	486,459
Business	9,569,453	611,208	6.4%	10,180,661
Education	6,636,313	324,459	4.9%	6,960,772
Human Sciences and Humanities	7,499,797	629,656	8.4%	8,129,453
Science and Computer Engineering	6,088,897	577,587	9.5%	6,666,484
Subtotal Academic Affairs	54,423,567	4,055,645	7.5%	58,479,212
Administration and Finance				
VP Administration & Finance	7,783,616	(1,332,014)	-17.1%	6,451,602
Facilities Management & Construction	4,213,775	894,935	21.2%	5,108,710
Utilities	2,866,918	(267,870)	-9.3%	2,599,048
Subtotal Administration & Finance	14,864,309	(704,949)	-4.7%	14,159,360
Other				
Reserve for State Budget Reduction		1,437,434	NA	1,437,434
Unallocated: Other	277,766	401,975	144.7%	679,741
Debt Service	3,569,991	(3,200)	-0.1%	3,566,791
System Service Charge	2,069,926	806	0.0%	2,070,732
Other Transfers	117,099	9,714	8.3%	126,813
Staff Benefits	10,000,888	349,203	3.5%	10,350,091
Fund Balance Contingency	1,517,019	(767,019)	-50.6%	750,000
Insurance Premiums	295,000			295,000
Subtotal Other	17,847,689	1,428,913	8.0%	19,276,602
Total Uses	\$ 89,239,402	\$ 4,813,298	5.4%	\$ 94,052,700

University of Houston-Clear Lake

Note to Table 3: Restricted Expenditures By Organization

	FY2010 Budget	-----Change-----		FY2011 Budget
		Dollars	Percent	
Use of Funds by Organization				
President				
President's Office	\$ 3,285	\$ (1,640)	-49.9%	\$ 1,645
University Advancement	5,829	7,671	131.6%	13,500
Subtotal President	9,114	6,031	66.2%	15,145
Academic Affairs				
Information Resources	445,612	(94,810)	-21.3%	350,802
Library	184,727	(57,826)	-31.3%	126,901
Sr. VP and Provost		250,000	NA	250,000
Enrollment Management	4,828,915	3,048,380	63.1%	7,877,295
Business	56,068	(1,353)	-2.4%	54,715
Education	1,432,204	106,864	7.5%	1,539,068
Human Sciences & Humanities	467,550	67,527	14.4%	535,077
Science and Computer Engineering	408,978	(30,293)	-7.4%	378,685
Subtotal Academic Affairs	7,824,054	3,294,520	42.1%	11,112,543
Administration and Finance	5,000			5,000
Total Uses	\$ 7,838,168	\$ 3,294,520	42.0%	\$ 11,132,688

University of Houston-Clear Lake
Table 1 - Summary of Sources & Uses of Funds

<u>Operating Budget</u>	FY2010 Budget	-----Change-----		FY2011 Budget
Source of Funds		Dollars	Percent	
Operations	\$ 89,239,402	\$ 4,813,298	5.4%	\$ 94,052,700
Restricted	7,838,168	3,294,520	42.0%	11,132,688
Total	\$ 97,077,570	\$ 8,107,818	8.4%	\$ 105,185,388
Use of Funds				
Operations	\$ 89,239,402	\$ 4,813,298	5.4%	\$ 94,052,700
Restricted	7,838,168	3,294,520	42.0%	11,132,688
Total	\$ 97,077,570	\$ 8,107,818	8.4%	\$ 105,185,388
<u>Capital Budget</u>	FY2010 Budget	-----Change-----		FY2011 Budget
Source of Funds		Dollars	Percent	
	\$ 8,461,515	\$ 522,255	6.2%	\$ 8,983,770
Use of Funds	\$ 8,461,515	\$ 522,255	6.2%	\$ 8,983,770
<u>Total Operating Budget & Capital Budget</u>	FY2010 Budget	-----Change-----		FY2011 Budget
	\$ 105,539,085	\$ 8,630,073	8.2%	\$ 114,169,158